

Safe Learning of Lifted Action Models

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1. Background and Problem Definition

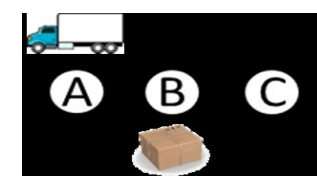
Classical Domain Independent Planning

Given:

- A **domain** specifying the *action model* of every actions
 - An **action model** specifies actions' precond. and effects
- A **problem** specifying the start state and goal conditions

Output: a **plan**, i.e., sequence of actions that achieve the goal

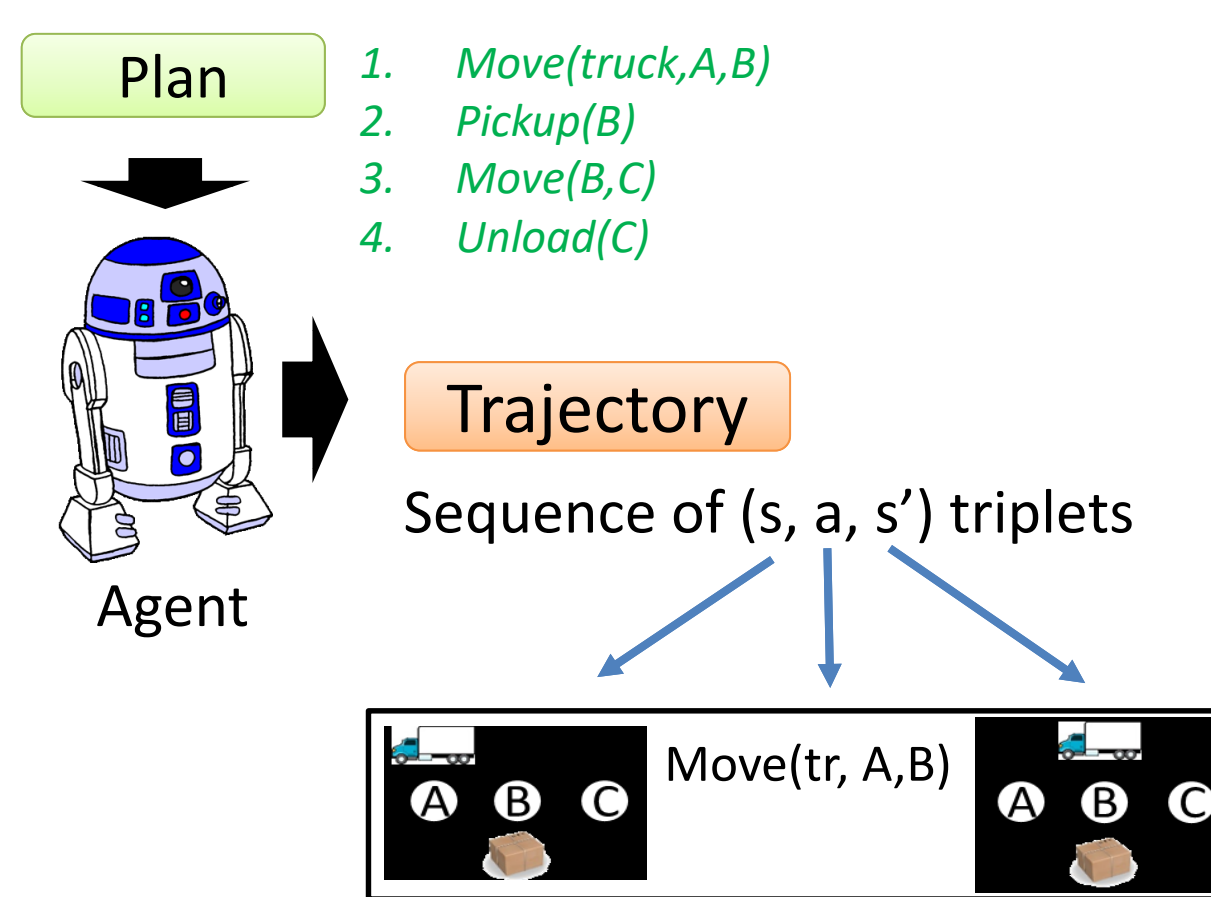
Example: the Logistics domain



- Domain includes action model for move, pickup, & unload
- Problem can be "Package at location C" (At(Package, C))

Challenge: how to plan without a given action model?

Execution Traces (Trajectories)



Safe Model-Free Planning

Given:

- A **problem P** in some unknown domain D
- A set of **trajectories** executed in D

Not given: the real action model M^* in D

Output: a **plan** for P that is **sound** w.r.t M^*

Prior work: Safe Action Model (SAM) Learning (Stern & Juba '17)

- Learns a **safe action model** M_{safe} from trajectories
 - Sound**: plans generated by M_{safe} are sound w.r.t M^*
 - Incomplete**: M_{safe} may be too weak to find a plan
 - Does not generalize between instances of the same action
- Move(A,B) and Move(C,D) are considered different actions

2. SAM Learning for Grounded Domains

Def: an action model M is safe if an action A is applicable in state S iff:

(1) A is applicable at S in the real action model (M^*)

and (2) The effects of applying A in state S are the same for M and M^*

Inference rules for grounded domains

Given a state-action-state triplet (s, a, s') :

- Rule 1. [Not a precondition] $\forall l \notin s: l \notin pre(a)$
- Rule 2. [Not an effect] $\forall l \notin s': l \notin eff(a)$
- Rule 3. [Must be an effect] $\forall l \in s' \setminus s: l \in eff(a)$

SAM Learning:

- For each action a
 - Initialize $pre(a)$ to be all literals
 - Initialize $eff(a)$ to be an empty set
 - For each state-action-triplet (s, a, s')
 - Apply Rule 1 to remove literals from $pre(a)$
 - Apply Rule 3 to add literals to $eff(a)$

3. Lifted Domains in Classical Planning

A lifted domain defines

- A set of types (e.g., truck, package) and objects (truck1, package_A)
- Lifted literals (e.g., At(x?, y?), On(x?,y?))
- Lifted actions (e.g., Move(truck?, location?, location?))

Note: trajectories consists of grounded actions and literals

A **grounding** of a literal/action is a pair (lifted literal/action, **binding**)

- A binding maps literal/action parameters to concrete objects
- Ex.: At(tr1, A) = (At(truck?, loc?), [truck?:tr1, loc?:A])
- Ex.: Move(tr1, A,B) = (Move(truck?, loc1?, loc2?), [truck?:tr1, A:loc1?, B:loc2?])

Preconditions and effects of lifted actions are **parameter-bound literals**

- A parameter-bound literal is a pair (lifted literal, parameters binding)
- Ex: precond. of Move(truck?,loc1?, loc2?) is (At(x?,y?), [x?: truck?, y?:loc1?])

Def: for a grounded action $\langle A, b_A \rangle$ and a grounded literal $\langle L, b_L \rangle$, let **bindings** (b_A, b_L) denote the set of all possible parameter-bindings between this action and literal, i.e., $\{b_{LA} | b_A \circ b_{LA} = b_L\}$.

4. SAM Learning for Lifted Domains

Inference rules for lifted domains

Given a state-action-state triplet $(s, \langle A, b_A \rangle, s')$:

Rule 1. $\forall \langle L, b_L \rangle \notin s$:

$$\forall b_{LA} \in binding(b_A, b_L): \langle L, b_{LA} \rangle \notin pre(a)$$

Rule 2. $\forall \langle L, b_L \rangle \notin s'$:

$$\forall b_{LA} \in binding(b_A, b_L): \langle L, b_{LA} \rangle \notin eff(a)$$

Rule 3. $\forall \langle L, b_L \rangle \in s' \setminus s$:

$$\exists b_{LA} \in binding(b_A, b_L): \langle L, b_{LA} \rangle \in eff(a)$$

If b_A is **injective**, this means $|bindings(b_A, b_L)| = \{0,1\}$

→ Rule 3 becomes simply add $\langle L, b_{LA} \rangle$ to list of effects

5. Theoretical Properties

Under the injective binding assumption, it holds that

- SAM learning returns the **strongest** possible **safe** action model
 - Planning with the returned safe action model is **sound** but **complete**
 - Approximate completeness: the number of trajectories required to learn the action model is linear in the size of the domain model
- Key: # trajectories does not depend on the number of domain objects.

Theorem 4. Under the injective binding assumption, given $m \geq \frac{1}{\epsilon} (2 \ln 3 |\mathcal{F}| |\mathcal{A}| k^d + \ln \frac{1}{\delta})$ trajectories sampled from \mathcal{T}_D , then with probability at least $1 - \delta$ SAM learning for lifted domains (Algorithm 1) returns a safe action model M_{SAM} such that the probability of drawing from \mathcal{P}_D a problem that is not solvable with M_{SAM} is at most ϵ .

6. Multiple Action Bindings

What if b_A is not bijective?

- $bindings(b_A, b_L)$ is a non-trivial set
- Example: consider a lifted action $A(x?, y?)$

$$T1 = \langle \{ \}, A(o, o), \{L(o)\} \rangle$$

$$T2 = \langle \{L(o_1)\}, A(o_1, o_2), \{L(o_1)\} \rangle$$

→ Either L(x) is an effect or L(y) or both (Rule 3)

→ L(y) is not an effect (Rule 3)

→ L(x) is an effect!

7. Extended SAM Learning

- Generate a CNF for every lifted action describing knowledge of effects
- Create proxy actions to maintain the predictability of the effects.

Example: assume we learn for action A the following CNF:

$$(IsEff(L1(x)) \text{ Or } IsEff(L1(y))) \text{ AND } (IsEff(L2(z)) \text{ Or } IsEff(L2(w)))$$

The generated proxy actions are::

$$A_{x=y}: eff=\{L1(x)\}, pre=\{L2(z), L2(w)\}, \text{ merge } x=y$$

$$A_{z=w}: eff=\{L2(z)\}, pre=\{L1(x), L1(y)\}, \text{ merge } z=w$$

$$A_{x=y,z=w}: eff=\{L1(x), L2(z)\}, pre=\{ \}, \text{ merge } x=y, z=w$$

Algorithm 3: Extended SAM Learning

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Input :  $\Pi_T = (T, O, s_1, s_g, T)$ 
Output:  $(pre, eff)$  for a safe action model
1  $\mathcal{A}' \leftarrow$  all lifted actions observed in T
2 foreach lifted action  $A \in \mathcal{A}'$  do
3    $(CNF_{pre}, CNF_{eff}) \leftarrow$  ExtractClauses(A, T(A))
4    $CNF_{eff}^1 \leftarrow$  all unit clauses in  $CNF_{eff}$ 
5   SurelyEff  $\leftarrow \{l \mid IsEff(l) \in CNF_{eff}^1\}$ 
6   SurelyPre  $\leftarrow \{l \mid IsPre(l) \in CNF_{pre}\}$ 
7   /* Create proxy actions for non-unit effects clauses */
8    $CNF_{eff} \leftarrow CNF_{eff} \setminus CNF_{eff}^1$ 
9   foreach  $S \in Powerset(CNF_{eff})$  do
10     $pre(A_S) \leftarrow$  SurelyPre;  $eff(A_S) \leftarrow$  SurelyEff
11    foreach  $C_{eff} \in CNF_{eff} \setminus S$  do
12     foreach  $l \in C_{eff}$  do
13      Add l to  $pre(A_S)$ 
14   MergeObjects(S, pre(A_S), eff(A_S))
15 return (pre, eff)
    
```

8. Preliminary Experimental Results

- Domains: N-Puzzle (3x3 tiles, 3 predicates, 1 action), Blocksworld (8 blocks, 5 predicates, 4 actions)
- Baseline: FAMA (Aineto et al. 2019), outcome may be a unsafe

Results:

- N-Puzzle: both algorithms find the action model with a single (s, a, s') triplet.
- Blocksworld: SAM learning able to find the action model with fewer trajectories

9. Summary and Future Work

SAM learning can learn lifted action models!

- Number of trajectories is quasi-linear in number of lifted actions
- Does **not depend on the number of objects in the world!**

Direction for future work: safe model-free planning in domains with continuous state variables, non-determinism, and partial observability.